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### ABSTRACT

Treatment with immune checkpoint inhibitors, including anti-PD(L)-1 antibodies, have demonstrated durable responses in patients with PD-L1 expressing tumors. However, patients with de novo or acquired resistance to checkpoint inhibitors have failed the treatment despite PD-L1 expression. The evidence from a number of studies showed that transforming growth factor  $\beta$  (TGF- $\beta$ ) signaling in the tumor microenvironment is associated with a poor prognosis, and inhibition of TGF-ß signaling can induce tumor T cell infiltration/activation and potentiate tumor response to immune checkpoint therapy. TST005 is a bi-functional fusion protein composed of the truncated extracellular domain of the TGF-βRII receptor (a TGF-β trap) fused to a humanized anti-PD-L1 IgG1 antibody (4B6 mAb control) with ablated Fc immune effector function. TST005 bound to human/monkey PD-L1 with high affinity and blocked the PD-1/PD-L1 interaction potently in a cell-based reporter assay. Similarly, TST005 also bound to TGF-β1, 2 and 3 isoforms with high affinity and inhibited the activation of TGF-B receptor mediated signaling. In a superantigen stimulation assay with human peripheral blood mononuclear cells (PBMCs), TST005 enhanced T cell activation significantly as measured by interferon-y (IFN-y) production, as compared to TGF-β trap or 4B6 mAb control alone. In contrast, TST005 did not exert any cytokine release effect on human naïve PBMCs in vitro. TST005 showed a linear dose-proportional PK profile with a single IV infusion in mice, and a negative correlation between plasma TST005 concentration and its pharmacodynamic marker TGF-β1 level was established. Furthermore, in the MC38/hPD-L1 xenograft mouse model, compared with TGF-ß trap or 4B6 mAb control, TST005 increased the infiltration of activated CD8+ T cells into the tumor, and it resulted in tumor regression starting from 3mg/kg. Of particular interest, in the EMT6/hPD-L1 xenograft model which responds only moderately to PD-L1 inhibitors, TST005 induced significant tumor growth inhibition and regression starting from 10mg/kg. In conclusion, we have demonstrated that TST005 has enhanced immunomodulatory properties and can induce potent antitumor activity in preclinical tumor models that are not sensitive to PD-1/PD-L1 monotherapy. These results provide the rationale for further clinical evaluation of TST005 in patients with advanced solid tumors and less optimal response to first generation PD(L)-1 based immunotherapy.



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# The Preclinical Characterization of TST005, a Bi-functional Anti-PD-L1 and TGF-ß Trap Fusion Protein

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### Effect of TST005 on Tuberculin (TB) Stimulated human PBMC

### Effect of TST005 on tuberculin(TB) stimulated PBMC



Figure 3. TST005 activates T lymphocytes stimulated with tuberculin (TB) to release IFN-y in a dose-dependent manner. The serially diluted antibody was incubated with activated human PBMC stimulated by tuberculin (TB) for 3 days. IFN-γ in the supernatant from human PBMC was measured by ELISA.

### **PK-PD** Relationship of TST005 in non-Human Primates



D-L1 RO in Monkeys Following a Single Dos

(PD-L1 receptor occupancy, serum TGF-β1 and TGF-β2 level) in Cynomolgus monkeys after a single dose of TST005 via IV infusion. Remarkable occupancy of PD-L1 and depletion of serum TGF-β1 were observed after TST005 administration, the changes of these PD markers were correlated with the serum TST005 concentrations and dose levels

Figure 4. Serum TST005 concentration-time curve and changes of PD markers



## Efficacy of TST005 in MC38/hPD-L1 Tumor Model



Figure 5. TST005 inhibited MC38/hPD-L1 tumor growth (mean  $\pm$  S.E.M n=10). A. Tumor growth curve B. Individual tumor volume on Day 29.

## TST005 Increased TILs in MC38/hPDL1 Tumor Model







### Efficacy of TST005 in EMT6/hPD-L1 Tumor Model



## CONCLUSIONS

- TST005 is a bi-functional fusion protein designed to simultaneously target two immune suppressive pathways, blocking PD-L1 and reducing TGF-β signaling, and it demonstrated superior anti-tumor activity in pre-clinical studies as compared to anti-PD-L1 or TGF- $\beta$ -trap control alone.
- Fc engineering eliminates TST005's binding affinity to FcγRIIIA and C1q, thereby ADCC or CDC activity of TST005 is abolished.
- According to the PK-PD study in cynomolgus monkeys, the effects of TST005 on PD-L1 receptor occupancy, and the concentration changes of serum TGF-B1 were correlated with serum TST005 concentrations over the dose range of 3-30 mg/kg following a single IV administration.
- The first-in-human study is expected 2021

### REFERENCES

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lymphocytes (TILs) analysis in MC38/hPD-L1 tumor-bearing mice treated with TST005 via IV injection twice weekly for two weeks (Mean  $\pm$  S.E.M, n=4)

Figure 6. Tumor infiltrating

